

Flores v. Department of Justice

17 Civ. 36 (JGK)

Vaughn Index

Bates No.	Description	Exemption	Justification
			SUMMARY OF ABBREVIATIONS “WIF”-Withheld in full “RIP”- Released in part “ACP” – Attorney Client Privileged “DP” – Deliberative Process “AUSA” – Assistant U.S. Attorney
Email Records Withheld in Full or Released in Part (email records released in part were contained in the “Compiled Docs” folder in the June 16, 2017 release)			
000279	Email chain, dated between September 17 and September 18, 2009, which identifies members of USAO and a third party, and their contact information. The document shows a third party inviting the U.S. Attorney to speak at an event. An attorney at the USAO-SDNY provided legal advice to the U.S. Attorney regarding his participation in the event.	RIP (b)(5) (ACP) (b)(6) ¹	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government’s efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected

¹ As explained in the declaration of Tricia Francis dated January 31, 2018, at the time that documents responsive to Plaintiff’s FOIA requests were initially processed, FOIA Exemption 7(C) was asserted as to certain personally identifiable information of government personnel and third parties that appeared in some documents. However, all assertions of Exemption 7(C) have now been withdrawn.

			to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000280	Email chain, dated between September 12 and September 16, 2009, which identifies members of USAO and third parties, and their contact information. The document shows a third party inviting the U.S. Attorney to speak at an event. An attorney at the USAO-SDNY provided legal advice to the U.S. Attorney regarding his participation in the event.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000281	An email chain, dated between August 16 and August 17, 2010, in which the names and contact information of members of staff at the USAO-SDNY and an outside organization are identified. The email contains legal advice being provided by an attorney at the USAO-SDNY to the U.S. Attorney regarding his invitation to and attendance at an event.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000282	An email chain, dated between October 25 and October 29, 2010, in	RIP	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney

	which the names and contact information for members of staff at the USAO-SDNY and an outside organization are identified. The email also contains a description of a discussion of legal issues that an attorney with the USAO-SDNY had with an attorney with EOUSA's OGC regarding the U.S. Attorney's attendance and participation at this event.	(b)(5) (ACP/DP) (b)(6)	for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000283	An email dated February 23, 2010, in which the names and contact information for members of staff at the USAO-SDNY and third parties are identified.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000284-000285 000286-000287 (partial dupe of 000284; information previously redacted at 000286-000287 now released at 001846 000288 (dupe of 000284)	Email chain dated February 22, 2010, in which the names and contact information of members of staff at the USAO-SDNY and an outside organization are identified. The email contains a communication between a staff member at the USAO-SDNY and an attorney at the USAO-SDNY for the purpose of seeking legal advice regarding the	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document.

	U.S. Attorney's invitation to and attendance at an event, and a subsequent email from the SDNY attorney to EOUSA seeking legal advice regarding the same issue.		Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000290-000291 000292-000293 (partial dupe) Some information previously redacted now released in part in 001967-001972	Email thread dated June 25, 2012, in which the names and contact information for members of staff at the USAO-SDNY and third parties are identified.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000296-000298	An email chain, dated between November 20 and 25, 2013, in which the names and contact information for staff from the USAO-SDNY and an outside organization are identified. The email contains an invitation to the USAO for the U.S. Attorney to attend an event. Also, the email chain contains a discussion between an SDNY staff member and an SDNY attorney, for the purpose of obtaining legal advice regarding the need to undergo vetting procedures for this event in order to comply with ethics requirements, and the status of an legal ethics analysis for the U.S. Attorney to attend another event. This document consists of three pages, where one page was released in full, and the remaining two pages were released in part.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

000299-000301	An email chain, dated between October 3 and October 7, 2013, in which the names and contact information for staff at USAO-SDNY and an outside organization are identified. This email contains an invitation for the U.S. Attorney to attend an event.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000302-000308	An email chain, dated between August 20, 2014, and December 24, 2014, in which the names and contact information for staff from the USAO-SDNY and an outside organization are identified. The email contains an invitation to the USAO for the U.S. Attorney to attend an event. Also, the email chain reflects a consultation between SDNY attorneys, for the purpose of obtaining legal advice regarding the U.S. Attorney's attendance at the event.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000309-000318	An 11-page email chain, dated between July 22 and October 20, 2014, in which a member of staff at the USAO-SDNY and an outside organization are exchanging information which would allow the SDNY to conduct the vetting process for an event that the U.S. Attorney was invited to attend. The email contains the names and contact information for members of staff at	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

	the SDNY and for the outside organization.		
000319-000341	An email, dated October 2, 2014, which contains the names of employees with the USAO-SDNY. The first page was released in part, and the remaining 22 pages were released in full to the requestor.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000342-000343	An email chain, dated between September 19, 2014 and September 24, 2014, in which members of staff at the USAO-SDNY and members of an outside organization discuss an invitation that was extended to the U.S. Attorney, to speak at an event for the organization. The email chain identifies members of the outside organization by name and contact information, as well as the contact information for members of staff at the USAO-SDNY. The email also contains a communication between an SDNY staff member and an SDNY attorney for the purpose of obtaining legal advice regarding an event that the U.S. Attorney was invited to attend.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000344-000416	An email, dated September 24, 2014, which contains the names of employees with the USAO-SDNY. The remaining 72 pages of this document were released in full to the requestor.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

000417-000427	An email chain that is dated between July 22 and October 20, 2014, which shows communications between a member of an outside organization and staff at the Southern District of New York, regarding an invitation that was extended to the U.S. Attorney for his attendance and participation at an event. The chain contains the name and contact information for the person who is affiliated with the outside organization.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000428-000436	A nine-paged email chain, dated between August 20 and October 10, 2014, in which a member of staff at the USAO-SDNY and a member of an outside organization are exchanging information which would allow the SDNY to conduct the vetting process for the U.S. Attorney's attendance and participation in the event. The email also contains an exchange between an SDNY staff member and an SDNY attorney for the purpose of obtaining legal advice regarding the U.S. Attorney's participation in the event.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000437-000438	An email chain that is dated between May 11, 2015, and May 22, 2015, which shows communications between a member of an outside organization and staff at the Southern District of New York,	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

	regarding an invitation that was extended to the U.S. Attorney for his attendance and participation at an event. The chain contains the name and contact information for the person who is affiliated with the outside organization.		
000439-000514	An email, dated April 24, 2015, in which a member of staff's name is identified. The first page of this document was released in part to the requestor, and the remaining 75 pages were released in full.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000515-000615	An email dated April 16, 2015, in which a member of staff's name is identified. The first page of this document was released in part to the requestor, and the remaining 99 pages were released in full.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000616-000618	An email chain, dated April 6, 2015, in which a member of staff at the USAO-SDNY forwards information to an SDNY attorney regarding the U.S. Attorney's attendance and participation at an event. The email contains the names of the staff members from the SDNY.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000619-000636	An email, dated April 27, 2015, in which a member of staff is identified. The government released this page in part, and released the remaining 19 pages of this document in full to the requestor.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

001798-001800	Email chain between dates of December 7, 2009 and December 28, 2009, involving the U.S. Attorney for the Southern District of NY, and a third party, who invited the U.S. Attorney to attend a speaking engagement, and containing legal advice being given to the U.S. Attorney, by an attorney at the SDNY regarding the U.S. Attorney's attendance and prospective activity while attending the event.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001801-001803	Email chain between an attorney at the USAO-SDNY and an Attorney-Advisor at the Office of General Counsel for EOUSA, which occurred between October 31, 2009 and November 5, 2009. The document shows a question being posed by the attorney from the SDNY, regarding the U.S. Attorney's attendance at an event (NY Council of Defense Lawyers), and the legal advice given by the Attorney-Advisor for EOUSA, in response to the question.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected

			to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001804	An email, dated September 25, 2009, between an attorney at the USAO-SDNY, the U.S. Attorney, and the U.S. Attorney, in which the SDNY attorney was providing the U.S. Attorney with legal advice in connection with an event the U.S. Attorney was invited to attend (NYCDL Luncheon).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001805-001807	An email chain, dated between September 11, 2009 and September 22, 2009, which contains the names of an attorney from SDNY, a third party, and an Attorney-Advisor with EOUSA's GCO. The content of this email involves a question being posed by the SDNY attorney to EOUSA's GCO, and the legal advice provided by EOUSA's GCO to the SDNY. The matter relates to an event that the U.S. Attorney was requested to attend.	RIP (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making.

			Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001808-001809	An email chain between the USAO-SDNY and EOUSA's GCO, dated September 18, 2009. In this document, an attorney with the SDNY is requesting advice from the EOUSA's OCGO regarding an invitation the U.S. Attorney received for an event (NYS Bar Association White Collar Meeting). The document also contains legal advice from an Attorney-Advisor with the OCGO to the SDNY on the question presented. The names and contact information of these parties, as well as third parties, are presented in this document.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001810-001811	Email chain, dated between September 11 and September 16, 2009, which identifies members of USAO and third parties, and their contact information. The document shows a third party inviting the U.S. Attorney to speak at an event. An attorney at the USAO-SDNY provided legal advice to the U.S. Attorney regarding his participation in the event.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected

			to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001812-001813	Non responsive to the FOIA request		
0001814-001815	An email chain dated August 21, 2009, in which the names of OGCO staff and the SDNY are provided. Also, the content of this document involves detailed legal advice being provided to the SDNY by an attorney with the OGCO, which was prompted by a question presented to the OCGO by the SDNY regarding the U.S. Attorney's attendance at the Federal Bar Council Thanksgiving Luncheon.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001816-001817	An email chain, dated October 13, 2009, between an attorney at the USAO-SDNY and the U.S. Attorney, in which the SDNY attorney provided legal advice to the U.S. Attorney regarding an event to which the U.S. Attorney was invited (NYCLA Annual Dinner). The advice contained in this document was originally given by the OGCO.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

001818-001819	An email, dated October 8, 2009, from an attorney in EOUSA's OGCO, to an attorney at the USAO-SDNY, in which the OGCO attorney is responding to an inquiry by the SDNY attorney, regarding the U.S. Attorney's ability to accept gifts while at speaking engagements (specifically referring in this instance to the Anti-Defamation League's 2009 Klinghoffer Award). In this document, the attorney at the OGCO is providing legal advice to the member of staff at the SDNY on DOJ's gift policy, and how it would apply to the U.S. Attorney. The email identifies the author and recipient by name and their contact information.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001820-001821	An email, dated September 30, 2009, in which an attorney with the OGCO and an attorney at the USAO-SDNY are identified by name and their contact information. In this document, the attorney with the OGCO is providing legal advice to the attorney at the USAO-SDNY, regarding an invitation to the U.S. Attorney to attend an event (speaking engagement at Columbia Law School).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

001822-001823	An email, dated October 29, 2009, in which an attorney with the OGCO for EOUSA is providing an attorney at the USAO-SDNY with legal advice on the U.S. Attorney's attendance at an event (D.A. Morgenthau dinner).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001824-001825	An email chain, dated August 21, 2009, which identifies attorneys at the USAO-SDNY, and members of staff at the OGCO for EOUSA. This document contains legal advice that was given by an OGCO attorney to the SDNY, regarding the U.S. Attorney's attendance at an event (Federal Bar Council Thanksgiving Luncheon). Further, the document shows an attorney at the SDNY providing the U.S. Attorney with this advice.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001826-001828	An email chain, dated December 30, 2009, which identifies members of staff at EOUSA's OGCO and the USAO-SDNY. The document contains	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public

	legal advice given by an attorney with the OGCO to the SDNY, regarding the U.S. Attorney's attendance at an event (NASABA Annual Awards Gala).		speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001829-001830	An email chain, dated December 30, 2009, in which members of staff with the OGCO and USAO-SDNY are identified. The email contains legal advice from an OGCO attorney to the SDNY regarding the U.S. Attorney's attendance at an event (NASABA Annual Awards Gala).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001831-001833	An email chain dated October 25, 2010, which contains the names and contact information of members of staff at the OGCO for EOUSA, and the USAO-SDNY. This email contains legal advice being provided by an attorney with the OGCO to the USAO-SDNY, regarding the U.S. Attorney's	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal

	attendance at an event (Federal Bar Council Thanksgiving Luncheon). The email also contains legal advice being given by an attorney at the USAO-SDNY to the U.S. Attorney, regarding his attendance of the event.		advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001834	An email chain, dated August 30, 2010, in which members of staff at the USAO-SDNY are identified. Also, the email contains legal advice being provided by an attorney at the USAO-SDNY to the U.S. Attorney regarding his attendance and participation at an event (NYC Bar Association Diversity Within Prosecutorial Offices event).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001835	An email, dated June 21, 2011, in which the name and contact information of members of staff from EOUSA's OGC and the USAO-SDNY are identified. The email contains the	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this

	legal analysis and advice of an OGC attorney to the USAO-SDNY about the U.S. Attorney's attendance and participation in an event (Fordham Law School Forum on Law, Culture & Society).		information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001836-001837	An email, dated October 7, 2010, which identifies members of staff of EOUSA's OGC and the USAO-SDNY. The email contains the legal analysis and advice of an OGC Attorney regarding the U.S. Attorney's attendance and participation for an event (Columbia Law School Public Interest Law Foundation awards dinner), and the provision of that advice to the U.S. Attorney.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001838-001839	An email, dated October 7, 2010, which identifies members of staff of EOUSA's OGC and the USAO-SDNY. The email contains the legal analysis and advice of an OGC Attorney regarding the U.S. Attorney's attendance and participation for an event (Columbia Law School Public	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document.

	Interest Law Foundation awards dinner).		Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001840-001841	001841 is released in part at 000283. 001840 is an email chain, dated between February 24 and February 25, 2010, in which the names and contact information of members of staff at EOUSA's OGC and the USAO-SDNY are identified. The email contains communications between the USAO-SDNY and EOUSA's OGC, where legal advice was sought from the OGC regarding the U.S. Attorney's attendance and participation for an event (National Association of Former United States Attorneys annual conference). The chain also contains the legal analysis and advice of an OGC Attorney regarding the U.S. Attorney's attendance and participation in the event.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001842-001843	001843 is released in part at 000283. 001842 is an email chain, dated between February 24 and February 25, 2010, in which the names and contact information of members of staff at EOUSA's OGC and the USAO-SDNY are identified. The email	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal

	contains communications between the USAO-SDNY and EOUSA's OGC, where legal advice was sought from the OGC regarding the U.S. Attorney's attendance and participation for an event (National Association of Former United States Attorneys annual conference). The chain also contains the legal analysis and advice of an OGC Attorney regarding the U.S. Attorney's attendance and participation in the event.		advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001844-001846 001851-001853 is a partial dupe 001854-001855 is a partial dupe	Email chain, dated between February 22 and February 23, 2010, in which the names and contact information of members of staff at EOUSA's OGC and the USAO-SDNY are identified. The email contains communications between the USAO-SDNY and EOUSA's OGC, where legal advice was sought from the OGC regarding the U.S. Attorney's attendance and participation for an event (NAPABA Northeast Regional Conference/Gala Dinner). The chain also contains the legal analysis and advice of an OGC Attorney regarding the U.S. Attorney's attendance and participation in the event.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001847-001850	An email chain, dated between February 22 and February 23, 2010, in which the names and contact	WIF	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice

	information for members of staff with EOUSA's OGC, USAO-SDNY, and an outside organization are identified. The email contains the legal analysis and advice of the OGC attorney regarding the U.S. Attorney's attendance and participation for an event (HedgeWorld Conference).	(b)(5) (ACP/DP) (b)(6)	(specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001856-001860	An email chain, dated between February 22 and February 23, 2010, in which the names and contact information for members of staff with EOUSA's OGC, USAO-SDNY, and an outside organization are identified. The email contains the legal analysis and advice of the OGC attorney regarding the U.S. Attorney's attendance and participation for an event (NAPABA Northeast Regional Conference/Gala Dinner).	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making.

			Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001861-001862	An email chain, dated May 3, 2011, in which the names and contact information for members of staff with EOUSA's OGC and the USAO-SDNY are identified. The email contains a response from EOUSA's OGC to the USAO-SDNY regarding a legal issue relating to the U.S. Attorney's attendance and participation at an event (Cornell Law School annual dinner). The email contains the OGC attorney's legal analysis and advice to the USAO-SDNY.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001863-001865	Non responsive to the FOIA request		
001866-001867	An email chain, dated between September 30, 2011 and October 6, 2011, in which the names and contact information of members of staff at EOUSA's OGC and the USAO-SDNY. The email contains a request from the USAO-SDNY for legal advice from the OGC's office regarding the U.S. Attorney's attendance and participation in an event (New York Stock Exchange event), and the OGC attorney's legal analysis and advice on the question that was raised by	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would

	the USAO-SDNY. This chain also contains the USAO-SDNY's response to the OCG's legal analysis and advice.		jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001868-001869	Email chain dated April 1, 2015, in which an SDNY attorney presents information to EOUSA counsel for the purpose of obtaining legal advice regarding U.S. Attorney Bharara's attendance at an event (American Association of Physicians of Indian Origin Annual Convention). The email chain contains the names and contact information of attorneys at EOUSA's OGC and the USAO-SDNY.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001870	An email, dated September 30, 2011, in which members of staff from the USAO-SDNY and EOUSA's OGC identified. The email contains a request for legal advice from the	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this

	SDNY to the OGC, regarding the U.S. Attorney's attendance and participation in an event to which he was invited (New York Stock Exchange event).		information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
01871-01872	An email chain, dated between September 30, 2011 and October 6, 2011, in which the names and contact information of members of staff at EOUSA's OGC and the USAO-SDNY. The email contains a request from the USAO-SDNY for legal advice from the OGC's office regarding the U.S. Attorney's attendance and participation in an event (New York Stock Exchange event), and the OGC attorney's legal analysis and advice on the question that was raised by the USAO-SDNY.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in

			these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001873-001875	An email chain, dated August 15, 2012, in which the names and contact information of members of staff from EOUSA's OGC and the USAO-SDNY are identified. The email contains the legal analysis and advice provided by OGC's attorney to the USAO-SDNY regarding the U.S. Attorney's attendance and participation in an event (Council of Urban Professionals forum). The email also contains an SDNY attorney's legal advice to the U.S. Attorney, regarding the legal advice received from OGC.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001876-001878	An email chain, dated August 15, 2012, in which the names and contact information of members of staff from EOUSA's OGC and the USAO-SDNY are identified. The email contains the legal analysis and advice provided by OGC's attorney to the USAO-SDNY regarding the U.S. Attorney's attendance and participation in an event (Council of Urban Professionals forum). The	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document.

	email also contains an SDNY attorney's response to OGC after receiving its advice.		Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001879-001885	001881-001885 are released in part at 001967-001972. 001879-001880 comprise an email chain, dated between July 19, 2012, and August 15, 2012, in which the names and contact information for members of staff from EOUSA's OGC and the USAO-SDNY are identified. The email contains the SDNY's request for legal advice from the OGC, and the OGC's legal analysis and advice regarding the U.S. Attorney's attendance and participation to an event (Council of Urban Professionals forum). The email chain contains facts that were discussed between the OGC and the USAO-SDNY as part of the discussion of legal issues, which were a factor in the OGC Attorney's legal advice to the District.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001886-001890	001887-001890 are released in part as 001967-001972. 001886 comprises an email chain, dated between July 18, 2012, and August 2, 2012, in which the names and contact information for members of staff from EOUSA's OGC and the USAO-SDNY are identified. The email	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal

	contains the SDNY's request for legal advice from the OGC regarding the U.S. Attorney's attendance and participation to an event (Council of Urban Professionals forum). The email chain contains facts that were discussed between the OGC and the USAO-SDNY as part of the discussion of legal issues, which were a factor in the OGC Attorney's legal advice to the District.		advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001891-001893	An email, dated August 15, 2012, in which the names and contact information of members of staff of EOUSA's OGC and USAO-SDNY are identified. Also, the email contains the OGC Attorney's legal analysis and advice on the U.S. Attorney's attendance and participation in an event (Council of Urban Professionals forum).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001894	Non responsive to the FOIA request		
001895-001898 000294-000295 are duplicates of pp. 3 and 4 of this email chain	An email chain, dated between January 25 and January 29, 2012, in which the names and identifying information of members of staff at	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public

	the USAO-SDNY and an outside organization are identified. The email contains the legal analysis and advice given by an attorney at SDNY to the U.S. Attorney regarding a legal issue that was presented relating to an outside event.		speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001899-001900	An email chain, dated between May 2 and May 3, 2012, in which the names and contact information for members of staff with EOUSA's OGC and the USAO-SDNY are identified. This email contains the OGC Attorney's legal analysis and advice regarding the U.S. Attorney's attendance and participation in an event (Asian Heritage Night), and follow up questions.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001901	Non responsive to the FOIA request		

001902-001903	An email chain, dated between November 25 and December 2, 2013, in which the names and contact information of various members of the USAO-SDNY and an outside organization are identified. The email contains an invitation to the U.S. Attorney to attend an event, and a request by a staff member to an SDNY attorney for legal advice regarding the U.S. Attorney's attendance and participation in the event. The email contains that senior staff member's legal analysis and advice regarding this issue to the U.S. Attorney.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001904-001908	An email chain, dated between December 10 and December 20, 2013, in which the names and contact information of various members of staff for the USAO-SDNY and an outside organization are identified.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001909-001910	An email chain, dated between November 13 and November 22, 2013, in which the names and contact information of staff at the USAO-SDNY and an outside organization are identified. The email contains an exchange between the USAO-SDNY and the outside organization, regarding information that the District needs in order to	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

	conduct its vetting procedures for the event for compliance with ethics requirements.		
001911-001916	An email chain, dated between September 16 and October 17, 2013, in which the names and contact information for staff at the USAO-SDNY and an outside organization are identified.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001917-001919	An email chain, dated between December 3 and December 10, 2013, in which the names and contact information for members of staff at the USAO-SDNY are identified. The email contains requests for information and discussions regarding conducting legal ethics analyses for the U.S. Attorney's attendance at multiple events he had been invited to attend.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001920	An email chain, dated between August 30 and September 5, 2013, in which the name and contact information for staff at the USAO-	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected

	SDNY and an outside organization are identified. The email contains references to an event the U.S. Attorney had been invited to, and a discussion regarding receipt of information from the outside organization to do a legal ethics analysis.		to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001921-001925	An email chain, dated between May 31 and June 3, 2013, in which the names and contact information for staff at EOUSA's OGC and USAO-SDNY are identified. The email contains a discussion about an event the U.S. Attorney had been invited to attend (Council of Urban Professionals forum), a request for assistance from the OGC to determine if his attendance/participation was permitted under governmental ethics rules, and the legal analysis and advice provided by the OGC Attorney. The email also contains additional communication between attorneys at the USAO-SDNY about the legal advice provided by the OGC Attorney.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001926	Non-responsive to the FOIA request		
001927	An email, dated November 10, 2014, in which an SDNY staff member and SDNY attorney are discussing changes to their legal ethical vetting process	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public

	regarding the U.S. Attorney's participation in outside events. The email contains the names and contact information of the SDNY employees.		speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001928-001929	An email chain, dated between October 8 and October 14, 2014, between an attorney with the Southern District of New York and a member of an outside organization who is inviting the U.S. Attorney to attend an event. The communication contains questions posed by the Southern District of New York, to conduct a legal ethics vetting process for the U.S. Attorney's attendance at the event. The document also contains the name and contact information for a third party – the representative of the organization.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001930-001931	An email chain, dated October 8, 2014, between an attorney with the Southern District of New York and a member of an outside organization who is inviting the U.S. Attorney to attend an event. The communication contains questions posed by the Southern District of New York, to	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

	conduct a vetting process for the U.S. Attorney's attendance at the event. The document also contains the name and contact information for a third party – the representative of the organization.		
001932	Email dated October 21, 2014, containing the names and contact information of attorneys at SDNY and EOUSA-OGC. In the email, an attorney at SDNY presents information to the EOUSA attorney for the purpose of seeking legal advice regarding ethical issues relating to an event to which the U.S. Attorney was invited (a SABA-NJ event).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001933	Email chain dated September 11, 2014, in which an SDNY attorney and SDNY staff member discuss the revised Event Vetting Questionnaire and the status of pending ethics checks relating to events that the U.S. Attorney agreed to attend. The email contains the names and contact information of the SDNY employees.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001934-001935	A two-paged email, dated September 4, 2014, between a member of an outside organization and the USAO-SDNY. This email chain contains the	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected

	names and identities of a member of an outside organization, and staff at the USAO-SDNY. Also it contains a request by the district of information to be used for vetting an event that the U.S. Attorney was invited to attend for compliance with legal ethics rules.		to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001936-001937	Email chain dated October 25, 2010 to October 29, 2010. Email contains the names and contact information of SDNY employees, EOUSA OGC attorneys, and a third party. The email also contains the legal analysis of an EOUSA GCO attorney regarding the ethical issues associated with an event to which the U.S. Attorney was invited.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001939	Non responsive to the FOIA requests		
001940-001941 001942-001943 is a partial dupe	A two-paged email chain, dated between October 27 and November 2, 2015, between members of staff at the USAO-SDNY, which consists of information being presented to an SDNY attorney for the purpose of obtaining legal advice, and legal advice being provided by the SDNY attorney to the U.S. Attorney regarding an ethics question that was	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document.

	raised in connection with an outside organization's invitation to the U.S. Attorney to attend and participate in an event (Sikh Art and Film Foundation Leadership Summit). The email chain contains the names of members of staff from the SDNY, as well as the contact information for some.		Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001944-001946	Email chain dated April 6, 2015, containing communications between an SDNY attorney and an EOUSA attorney regarding ethical issues relating to the U.S. Attorney's participation in an event (AAPI convention), for the purpose of obtaining legal advice from EOUSA. The email sets forth the names and contact information of the involved government employees.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001947-001952	A six-paged email, dated between February 12 and April 01, 2015, in which a member of an outside organization has invited the U.S.	RIP (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public

	Attorney to attend and participate in an event. The email chain also consists of communications between an SDNY staff member and an SDNY attorney, where legal advice is both sought and provided between them regarding the U.S. Attorney's attendance at this event. This email chain contains the names of staff members at the District, and at the outside organization, as well as contact information for some of those whose names appear in this document.		speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001953-001954	A two-paged email, dated April 1, 2015, where an attorney at the USAO-SDNY, wrote to the duty attorney at EOUSA's OGC, regarding a legal issue relating to the U.S. Attorney's attendance and participation at an event (American Association of Physicians of Indian Origin event). The email chain also shows a specific attorney at the OGC being assigned to handle the SDNY's inquiry. Also, it contains the names of staff from both offices, and contact information for the member of staff at the USAO-SDNY.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making.

			Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001955	An email, dated July 16, 2015, in which a staff member of the USAO-SDNY provided information for the purpose of seeking the legal advice of an SDNY attorney regarding the U.S. Attorney's attendance and participation at an event (ABTL event). The email also contains the names and contact information of the SDNY employees.	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001956-001958	A three-paged email chain, dated between April 6 and April 9, 2015, which contains an invitation to the U.S. Attorney, from an outside organization, for his attendance and participation at an event. The email chain also includes communication between staff at SDNY, where one member of staff is asking an SDNY attorney to review the invitation and vetting information in order to assess whether the U.S. Attorney can attend the event in compliance with government ethics rules. The email	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

	contains the names of members of staff at the USAO-SDNY and the outside organization, and contains the contact information of some listed in this document.		
001959-001963	A five-paged email chain, dated between April 6 and April 8, 2015, which show communications between an Attorney-Advisor with EOUSA's OGC, and an attorney at the USAO-SDNY, regarding the U.S. Attorney's attendance and involvement at an event (AAPI Convention). The email contains the legal analysis and advice of the OGC attorney on the issue that was presented by the SDNY. The email also contains the legal advice that was provided to the U.S. Attorney by the SDNY attorney.	WIF (b)(5) (ACP/DP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(5) is also asserted to protect information which would reveal pre-decisional communications among government personnel such as discussions regarding various questions concerning rules of ethics. Disclosure would jeopardize the candid and comprehensive considerations essential for efficient and effective agency decision-making. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001964	An email chain, dated between February 24 and April 6, 2015, relating to an invitation to the U.S. Attorney to attend and participate in an event (AAPI convention). The email contains communications in which an SDNY staff member provides information to an SDNY	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal

	attorney for the purpose of obtaining legal advice about this invitation and any related legal ethics issues. The email sets forth the names and contact information of the SDNY employees and third parties.		advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001965-001966	A two-paged email, dated April 6, 2015, in which an Attorney-Advisor with EOUSA's OGC provides the USAO-SDNY with detailed legal analysis and advice, in response to an inquiry that that was presented by the SDNY, regarding the U.S. Attorney's attendance and involvement at an event (AAPI Convention).	WIF (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001967-001972	Email chain ranging in date from June 25, 2012, to July 18, 2012, in which the names and contact information of members of staff at the USAO-SDNY and an outside organization are identified. The email contains a communication between an attorney at the USAO-SDNY and counsel at EOUSA for the purpose of seeking legal advice regarding the U.S.	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document.

	Attorney's invitation to and attendance at an event.		Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001973-001976	An email chain, dated between August 14 and September 16, 2014, between members of staff at the USAO-SDNY and a member of an outside organization. The email contains an invitation to the U.S. Attorney for the SDNY to attend an event. It contains the names of various third parties who will be participating in the event, and the names and contact information of those who are on the email chain.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
001977-001978	An email chain, dated between May 3 and September 3, 2015, containing communications between members of staff at the USAO-SDNY and an outside organization, regarding the U.S. Attorney's attendance and participation at an event. The email contains the names and contact information for staff at both offices.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
Records Reflecting "policies, procedures, customs, traditions, guidelines, or other instructions followed by staff of the U.S. Attorney's Office for the Southern District of New York" Responsive to Plaintiff's FOIA Requests (contained in volume five of the "Compiled Docs" provided in the June 16, 2017 release)			
000643-000644	Non-public memorandum provided to U.S. Attorney Preet Bharara from the EOUSA National Records Manager relating to the creation and disposition of records	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

000645-000659	Non-public U.S. Attorney's Policies and Procedures ("USAPP") RIM-02, Departing U.S. Attorney Records Memo, maintained on USANet, setting forth guidance regarding actions to be taken to preserve a departing U.S. Attorney's records, and attachments to the USAPP.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
Records Reflecting "information pertaining to the costs paid by the U.S. Attorney's Office for U.S. Attorney Bharara and his staff to travel to and attend the appearances made outside of Manhattan, where U.S. Attorney Bharara has delivered speeches" (contained in the "Reports" folder provided in the June 16, 2017 release)			
000660-000661	Cost Report reflecting costs paid by the USAO-SDNY for USAO-SDNY staff (not referring to U.S. Attorney Bharara) to travel with the U.S. Attorney to an outside appearance.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
Attachments to Email Records Responsive to the FOIA Requests (contained in the "Attachments" folder provided in the June 16, 2017 release)			
000033	Information sheet relating to "3 rd Annual Scholarship Banquet," setting forth the name of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000034-000037	Event Vetting Sheet relating to "The Association of Business Trial Lawyers 42 nd Annual Seminar," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000038-000039 000641-000642 (duplicate)	February 28, 2011 letter from an attorney at Morgan Lewis to U.S. Attorney Bharara regarding an invitation to deliver the A.A. Sommer, Jr. Corporate, Securities and Financial Law Lecture at Fordham Law School, containing identifying information	RIP (b)(5) (ACP) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal

	and contact information regarding a non-public figure third party and a USAO-SDNY attorney, as well as containing a request for legal advice from a USAO-SDNY attorney regarding compliance with governmental ethics requirements. This document was also released in part in volume 5 of the “compiled docs” provided in the June 16, 2017 release.		advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000040-000042	Event Vetting Sheet relating to “The John Jay Iselin Memorial Lecture Series,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000043-000044	September 22, 2009 letter from non-public figure third party employee of Lankler Siffert & Wohl LLP to U.S. Attorney Bharara regarding an invitation to be the speaker at a luncheon, setting forth the name, contact information, and signature of the third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000045-000048	August 14, 2014 information sheet relating to an Annual Dinner to be held by the South Asian Bar Association of New Jersey, setting forth the names and contact information of non-public figure third parties.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000049-000052	Event Vetting Sheet relating to the “AAPI Annual Convention 2015,”	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to

	setting forth the name and contact information of USAO-SDNY staff		constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000053-000056	Event Vetting Sheet relating to "American Justice Summit," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000057-000060	Event Vetting Sheet relating to "Rockland County Bar Association Annual Dinner," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000061-000064	Event Vetting Sheet relating to "District Attorneys Association of the State of New York 2016 Winter Conference Luncheon," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000065-000066	Information sheet relating to 4.11.15 NAPIPA 2 nd Annual Scholarship Banquet, setting forth the name and contact information of a non-public figure third party.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000067	July 27, 2016 letter to U.S. Attorney Bharara from Gerard Baker of the Wall Street Journal CEO Council regarding an invitation to be a featured speaker, and setting forth the name and contact information of a non-public figure third party.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000068-000071	Event Vetting Sheet relating to "CNBC Net/Net Summit," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to

			constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000072	September 30, 2011 letter to U.S. Attorney Bharara from Corporate Board Member and NYSE Euronext regarding an invitation to participate in an event, and setting forth the name of a non-public figure third party.	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000073-000074	Event Vetting Sheet relating to "Cleary-SEO Event," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000075-000078	Event Vetting Sheet relating to "Citizens Crime Commission of New York City 2015 Annual Awards Reception," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000079-000080	Event Vetting Sheet relating to "The Weekend with Charlie Rose," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000081-000082	Event Vetting Sheet, setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000083-000086	Event Vetting Sheet relating to 9/11 Memorial Museum Event, setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to

			constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000087-000089	Event Vetting Sheet relating to “Sikh Centennial Gala,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000090-000092	Event Vetting Sheet relating to Princeton Club of New York event, setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000093-000098	Event Vetting Sheet relating to “New York State Bar Association Criminal Justice Section Awards Luncheon,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000099-000102	Event Vetting Sheet relating to “NAIIPA Second Annual Scholarship Dinner,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000103-000106	Event Vetting Sheet relating to “American Bar Foundation New York Fellows Luncheon,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000107-000110	Event Vetting Sheet relating to event at Theater of Youth in Buffalo, NY, setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000111-000113	Event Vetting Sheet relating to “Common Cause/NY Annual Awards	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these

	Dinner,” setting forth the name and contact information of USAO-SDNY staff		documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000114-000116	Event Vetting Sheet relating to “CFTC Cooperative Enforcement Conference,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000117-000119	Event Vetting Sheet relating to “Leadership and Corporate Accountability classes at Harbor Business School,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000120-000122	Event Vetting Sheet relating to event at Lazard offices, setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000123-000126	Event Vetting Sheet relating to “Public Affairs Evening in honor of Preet Bharara” at the Lotos Club, setting forth the name and contact information of USAO-SDNY staff, as well as the names of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000127-000130	Event Vetting Sheet relating to “Compliance 2016” event, setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000131-000134	Event Vetting Sheet relating to NAPIPA event at the Center at the Cathedral in Los Angeles, California,	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to

	setting forth the name and contact information of USAO-SDNY staff		constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000135-000137	Event Vetting Sheet relating to "New York County Lawyers Association and its Committee on the Supreme Court Law Day Luncheon," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000138-000141	Event Vetting Sheet relating to "Orange County Bar Association Annual Dinner," setting forth the name and contact information of USAO-SDNY staff, and of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000142-000145	Event Vetting Sheet relating to "The Wall Street Journal CFO Network Annual Meeting," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000146-000148	Event Vetting Sheet relating to "MCC Chairman's Breakfast Featuring a Conversation with US Attorney Preet Bharara," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000149-000151	Event Vetting Sheet relating to "New York Press Association Spring Convention & Trade Show," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000152-000155	Event Vetting Sheet relating to ""South Asian Bar Association of San Diego presents Preet Bharara,"	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to

	setting forth the name and contact information of USAO-SDNY staff		constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000156-000159	Event Vetting Sheet relating to “Sikh Leadership Summit – Shaping Tomorrow’s Global Leaders,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000160-000162	Event Vetting Sheet relating to Staten Island Economic Development Corporation Event, setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000163-000166	Event Vetting Sheet relating to “League of Women Voters of Scarsdale Food for Thought Fall Membership Luncheon,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000167-000170	Event Vetting Sheet relating to “RPA Assembly,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000171-000174	Event Vetting Sheet relating to “SIFMA Compliance & Legal 2017 Annual Seminar,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000175-000178	Event Vetting Sheet relating to “Outlook 2014,” setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

000179-000181	Event Vetting Sheet relating to "North American South Asian Law Students Association 17 th Annual International Conference," setting forth the name and contact information of USAO-SDNY staff and of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000182-000183	Event Vetting Sheet relating to "2013 Human Rights Summit," setting forth the name and contact information of USAO-SDNY staff, and the names of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000184-000186	Event Vetting Sheet relating to "Keynote Dinner Speech at Network of Indian Professional Conference," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000187-000189	Event Vetting Sheet relating to "Securities Enforcement Forum 2014," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000190-000193	Event Vetting Sheet relating to "Worth Leading Wealth Advisor Summit," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

000194-000198	Event Vetting Sheet relating to “15 th Annual Taxpayers Against Fraud Education Fund conference,” setting forth the name and contact information of USAO-SDNY staff, as well as non-public information, including tax information, relating to the TAF Education Fund	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000199-000202	Event Vetting Sheet relating to “Financial Executives International and Silicon Valley Directors Exchange – Annual Dinner Event,” setting forth the name and contact information of USAO-SDNY staff, as well as the name of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000203-000204	October 2, 2015 letter to U.S. Attorney Bharara from the Lotos Club regarding an invitation to receive an award, and setting forth the names and contact information of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000205-000206	Information sheet regarding the 2012 John Jay Iselin Memorial Lecture Series, setting forth the name and contact information of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000207-000208	Information sheet regarding the 2015 John Jay Iselin Memorial Lecture Series, setting forth the name and contact information of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.

000209-000212	Event Vetting Sheet relating to "Legal & Compliance 2017," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000213-000217	Event Vetting Sheet relating to "10 th Annual Leadership Awards Gala/20 years of SABANY," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000218	Invitation to NAPIPA 3 rd Annual Scholarship Banquet, setting forth the contact information of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000219-000220	Information sheet relating to NAPIPA 1st Annual Scholarship Banquet, setting forth the name of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000221	Duplicate of page 000220	Same	Same
000222-000224	Event Vetting Sheet relating to "TiEcon 2015," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000225	Information sheet regarding SABA San Diego event, setting forth the name and contact information of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000226	October 21, 2015 letter to U.S. Attorney Bharara from Managed Funds Association, setting forth the	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly

	name and identifying information of a non-public figure third party		unwarranted invasion of personal privacy. There are no public interests to weigh.
000227-000230	Event Vetting Sheet relating to "Comments to MBA classes at Harvard Business School," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000231-000235	Event Vetting Sheet relating to the "Anticorruption Academy in Colorado Springs," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000236-000237	Letter to U.S. Attorney Bharara from Common Cause New York regarding an invitation to receive an honor, setting forth the names of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000238	January 3, 2011 letter to U.S. Attorney Bharara from Blank Rome LLP regarding an invitation to speak, setting forth the name and contact information of a non-public figure third party, the names of USAO-SDNY attorneys, and a request for legal advice from a USAO-SDNY attorney to another USAO-SDNY attorney regarding the invitation	RIP (b)(5) (b)(6)	Exemption (b)(5) was applied to protect confidential communications between client and counsel (USAO-SDNY/EOUSA OGC, and/or USAO-SDNY/ U.S. Attorney for SDNY) made for the purpose of obtaining or providing legal advice (specifically relating to the application of governmental ethics rules to public speeches and/or appearances by the U.S. Attorney). Disclosure of this information would represent an intrusion into the attorney-client relationship, impeding the government's efforts to obtain and utilize full and frank legal advice to ensure its observance of the law. There is no reasonably segregable information contained in this document. Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000239-000242	Event Vetting Sheet relating to the "Columbia Law School Social Justice	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in

	Initiative's Visitor-from-Government Practice" Event, setting forth the name and contact information of USAO-SDNY staff, and the name of a non-public figure third party		these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000243-000246	Event Vetting Sheet relating to the "Seton Hall Law School 2016 Commencement Ceremony," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000247	February 10, 2016 invitation to U.S. Attorney Bharara to attend an AAPI Convention Banquet, setting forth the names and contact information of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000248	Information sheet relating to Queens Civic Congress Foundation, Inc. Civic Luncheon, setting forth the name and contact information of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000249-000253	Event Vetting Sheet relating to the "Transparency International – USA Integrity Award Dinner," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000254-000255	Invitation to University of Houston Event, setting forth the name and contact information of a non-public figure third party	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000256-000258	Event Vetting Sheet relating to the "Security Traders Association of Chicago Mid-Winter Meeting,"	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to

	setting forth the name and contact information of USAO-SDNY staff		constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000259-000260	January 12, 2015 Letter to U.S. Attorney Bharara from TIE regarding an invitation to speak at the 22 nd annual conference, setting forth the names of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000261	Event checklist relating to WAMC event, setting forth the names of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000262-000264	Event Vetting Sheet relating to the "Westchester County Crime Stopper 5 th Annual Dinner," setting forth the name and contact information of USAO-SDNY staff	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.
000638-000640	Event Vetting Sheet relating to "The John Jay Iselin Memorial Lecture Series," setting forth the name and contact information of USAO-SDNY staff, and the names of non-public figure third parties	RIP (b)(6)	Exemption (b)(6) is asserted to protect the names and other identifying information of third parties and government personnel, whose names appear in these documents, on the grounds that disclosure could reasonably be expected to constitute a clearly unwarranted invasion of personal privacy. There are no public interests to weigh.